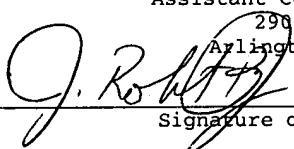


ATTORNEY DOCKET NO. TUEC.IP2016  
CUSTOMER NO. 24347

INFORMATION DISCLOSURE  
STATEMENT

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Certificate Under 37 C.F.R. § 1.10	
EXPRESS MAIL" MAILING LABEL NUMBER:	EV 005396420 US
Date:	December 28, 2001
I, J. Robert Brown, Jr., hereby certify that this paper (along with any paper and fees referred to as being attached or enclosed or actually enclosed) is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above, with sufficient postage, and is addressed as follows:	
P.O. Box Patent Application Assistant Commissioner for Patents 2900 Crystal Drive Arlington, VA 22202-3513	
 Signature of person mailing paper	

In re Application of: Brian L. Jackson  
Craig E. Bingham

For: Compliance Management System and  
Method

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P.O. Box Patent Application  
Assistant Commissioner for Patents  
2900 Crystal Drive  
Arlington, VA 22202-3513

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of this art is enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that this art is material to patentability of the present application or

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JC679 U.S. PTO  
10/033943

12/28/01

that a search has been made.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner, and shall not be construed as a representation that Applicant or Applicant's attorneys have personal knowledge of the contents of the listed art or any events surrounding the listed art.

The Examiner is requested to initial the attached form PTO-1449, as indicated. It is respectfully requested that the Examiner then return to the undersigned a copy of the initialed and completed Form PTO-1449 that indicates the enclosed referenced art has been considered in its entirety.

To the extent that any further fees, whatsoever, are required, including petition fees, the Commissioner is hereby authorized to charge payment of any additional fees, including any under 37 C.F.R. § 1.16 or 37 C.F.R. § 1.17, to Deposit Account No. 23-3189, Attorney Docket No. TUEC.IP2016.

ATTORNEY DOCKET NO. TUEC.IP2016  
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INFORMATION DISCLOSURE  
STATEMENT

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Respectfully submitted,



---

J. Robert Brown, Jr.  
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ATTORNEY FOR APPLICANT

December 28, 2001

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

TUEC.IP2016

SERIAL NO.

Not Assigned

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

APPLICANTS

Brian L. Jackson

Craig E. Bingham

FILING DATE

December 28, 2001

GROUP

Not Assigned

JC979 U.S. PRO  
10/033943**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME OF INVENTOR	CLASS	SUBCLASS	FILING DATE (if appropriate)
	4,887,204	Dec. 12, 1989	Johnson, et al.	707	10	Feb. 13, 1987
	4,864,492	Sept. 5, 1989	Blakely-Fogel, et al.	706	45	Sept. 17, 1986
	4,896,291	Jan. 23, 1990	Gest, et al.	345	841	May 20, 1988

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE FILED	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER ART**

(Include name of author (in CAPITAL LETTERS), title of article, title of item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume issue number(s), publisher, city and/or country where published)

EXAMINER INITIAL	

DATE CONSIDERED:

\*EXAMINER: Please initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Please include copy of this form with next communication to applicant.